

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

|                          |           |
|--------------------------|-----------|
| OMB Number:              | 3235-0287 |
| Estimated average burden |           |
| hours per response:      | 0.5       |

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan for the purchase or sale of equity securities of the issuer that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.

|   |  |  |
|---|--|--|
| 1. Name and Address of Reporting Person * | 2. Issuer Name and Ticker or Trading Symbol              | 5. Relationship of Reporting Person(s) to Issuer<br>(Check all applicable)           |
| <u>KATHWARI M FAROOQ</u>                  | <u>ETHAN ALLEN INTERIORS INC [ ETD ]</u>                 | <input checked="" type="checkbox"/> Director 10% Owner                               |
| (Last) (First) (Middle)                   | 3. Date of Earliest Transaction (Month/Day/Year)         | <input checked="" type="checkbox"/> Officer (give title below) Other (specify below) |
| <u>25 LAKE AVENUE EXT.</u>                | <u>01/22/2026</u>  | <u>Chairman, President &amp; CEO</u>   |
| (Street)                                  | 4. If Amendment, Date of Original Filed (Month/Day/Year) | 6. Individual or Joint/Group Filing (Check Applicable Line)                          |
| <u>DANBURY CT 06811</u>                   |  | <input checked="" type="checkbox"/> Form filed by One Reporting Person               |
| (City) (State) (Zip)                      |  | Form filed by More than One Reporting Person   |

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) |   | 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) |            |         | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4)     |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|------------|---------|---|--|---|
|                                 |                                      |  | Code                           | V | Amount  | (A) or (D) | Price   |   |  |   |
| Common Stock                    | 01/22/2026                           |  | s <sup>(1)</sup>               |   | 2,168   | D          | \$25.01 | 1,483,228   | D  |   |
| Common Stock                    |                                      |  |                                |   |   |            |         | 15,364  | I  | Shares held by spouse                                     |
| Common Stock                    |                                      |  |                                |   |   |            |         | 8,552   | I  | Shares held in 401(k) plan                                |
| Common Stock                    | 01/22/2026                           |  | s <sup>(1)</sup>               |   | 25  | D          | \$25.01 | 22,347  | I  | 2020 Trust FBO Kolhai Gibran Kathwari                     |
| Common Stock                    | 01/22/2026                           |  | s <sup>(1)</sup>               |   | 25  | D          | \$25.01 | 22,347  | I  | 2020 Trust FBO Zavier Irfan Kathwari                      |
| Common Stock                    | 01/22/2026                           |  | s <sup>(1)</sup>               |   | 27  | D          | \$25.01 | 22,342  | I  | 2020 Trust FBO Samantha Maxime Van Puyenbroeck-Kathwari   |
| Common Stock                    | 01/22/2026                           |  | s <sup>(1)</sup>               |   | 25  | D          | \$25.01 | 22,347  | I  | 2020 Trust FBO Gabriel Alexander Van Puyenbroeck-Kathwari |

(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) |   | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |     | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                 | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) |                            | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|-----|--|-----------------|---|----------------------------|--|--|---|--|
|  |  |                                      |  | Code                           | V | (A)  | (D) | Date Exercisable   | Expiration Date | Title   | Amount or Number of Shares |  |  |   |  |

**Explanation of Responses:**

1. This transaction was pursuant to a Rule 10b5-1 stock selling plan adopted on June 10, 2025 by M. Farooq Kathwari, with an effective date of September 15, 2025. This trading plan was previously disclosed in the Issuer's Annual Report on Form 10-K filed on August 22, 2025. In addition, on January 22, 2026, 1,590 shares of Ethan Allen common stock were sold by the Irfan Kathwari Foundation (all of whose shares Mr. Kathwari is deemed to beneficially own, but over which he has no reportable pecuniary interest) pursuant to the above-referenced Rule 10b5-1 stock selling plan.

/s/ Matthew J. McNulty as

attorney-in-fact for M. Farooq

01/23/2026

Kathwari

\*\* Signature of Reporting Person

Date \_\_\_\_\_

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.