

| | |
|--|-----------|
| OMB Number: | 3235-0104 |
| Estimated average burden hours per response: | 0.5 |

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934
or Section 30(h) of the Investment Company Act of 1940

| | | | |
|---|--|---|---|
| 1. Name and Address of Reporting Person * <u>Diefenbach Douglas H.</u> (Last) (First) (Middle) <u>25 LAKE AVENUE EXT.</u> (Street) <u>DANBURY CT 06811</u> (City) (State) (Zip) | 2. Date of Event Requiring Statement (Month/Day/Year) <u>11/04/2024</u> | 3. Issuer Name and Ticker or Trading Symbol <u>ETHAN ALLEN INTERIORS INC [ETD]</u> | |
| | | 4. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner <input checked="" type="checkbox"/> Officer (give title below) Other (specify below) <u>SVP, Business Development</u> | 5. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable Line) <input checked="" type="checkbox"/> Form filed by One Reporting Person Form filed by More than One Reporting Person |

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---------------------------------|---|--|---|
| Common Stock | 5,597 ⁽¹⁾ | D | |
| Common Stock | 500 ⁽²⁾ | D | |
| Common Stock | 977 ⁽³⁾ | I | Shares held in 401(k) plan. |

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|--|--|-----------------|---|----------------------------|--|--|---|
| | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | |
| Employee Stock Option (right to buy) | 06/15/2018 | 06/15/2025 | Common Stock | 666 ⁽⁴⁾ | 26.19 | D | |

Explanation of Responses:

- These shares are privately owned.
- Represents unvested restricted stock units previously granted on August 10, 2021 under the Ethan Allen Interiors Inc. Stock Incentive Plan; these restricted stock units vest ratably, with the remaining outstanding units scheduled to vest on August 10, 2025.
- Represents shares held in The Ethan Allen Retirement Savings Plan (the "401k Plan").
- Represents stock options previously granted on June 15, 2015 under the Ethan Allen Interiors Inc. Stock Incentive Plan; these stock options became fully vested and exercisable on June 15, 2020 and expire ten years after grant date.

/s/ Matthew J. McNulty as
attorney-in-fact for Douglas H. Diefenbach 11/04/2024

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

LIMITED POWER OF ATTORNEY

KNOW ALL MEN BY THESE PRESENTS, that I, Douglas H. Diefenbach, the undersigned of 25 Lake Avenue Ext., Danbury, CT 06811, hereby make, constitute, and appoint Matthew J. McNulty, Senior Vice President, Chief Financial Officer and Treasurer and Ginger Triscele, Senior Director of Accounting and Controls, and each of them, jointly and severally, as my true and lawful attorneys-in-fact for me and in my name, place, and stead giving to such persons full power to execute and to file with the Securities and Exchange Commission (SEC) as my attorneys-in-fact and all SEC Forms 3, 4, or 5 required to be filed in connection with my beneficial ownership of securities of Ethan Allen Interiors Inc. (the Company).

The rights, powers, and authority of said attorneys-in-fact herein granted shall commence and be in full force and effect as of October 29, 2024, and such rights, powers, and authority shall remain in force and effect thereafter for so long as I am an Officer of the Company and for such time thereafter as may be necessary to file any such reports.

IN WITNESS WHEREOF, the undersigned has executed this Limited Power of Attorney as of the 29th day of October 2024.

Signature: /s/ Douglas H. Diefenbach
Printed Name: Douglas H. Diefenbach