### FORM 5

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPR	OVAL
OMB Number:	3235-0362
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). Form 3 Holdings Reported

Form 4 Transactions

Reported

# ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person * KAPTEINA HENRY C			2. Issuer Name and ETHAN ALLE		~ ,		5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner			
(Last) ETHAN ALLEN	(First) DRIVE	(Middle)	3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 06/30/2012					X_Officer (give title below) Other (specify below)  Director, Internal Audit		
(Street)			4. If Amendment, Date Original Filed(Month/Day/Year)					6. Individual or Joint/Group Reporting (check applicable line)  X Form Filed by One Reporting Person		
DANBURY, CT	06811						Form Filed by One Reporting Person Form Filed by More than One Reporting Person			
(City)	(State)	(Zip)	Tab	ole I - Non-Deri	ivative Se	curities	Acqui	red, Disposed of, or Beneficially	Owned	
1.Title of Security (Instr. 3)		2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)			isposed	of (D)	Beneficially Owned at end of Issuer's Fiscal Year	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership
Common Stock								1,034	D	
Common Stock								2,611.5468	I	Held in 401(k)
Common Stock								1,133	I	Shares held jointly with Spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Security (Instr. 3)	Conversion	Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 8)	5. Numb of Deriv Secur Acqu (A) of Dispo of (D) (Instr 4, and	eative restricted rest	6. Date Exercisable and Expiration Date (Month/Day/Year)		Amor Unde Secur	ant of rlying	Derivative Security (Instr. 5)	Derivative Securities Beneficially Owned at End of Issuer's	Ownership Form of Derivative	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
					(A)	,	Date Exercisable	Expiration Date	Title	Amount or Number of Shares					

#### **Reporting Owners**

Reporting Owner Name /	Relationships						
Address	Director	Director Officer Officer					
KAPTEINA HENRY C ETHAN ALLEN DRIVE DANBURY, CT 06811			Director, Internal Audit				

#### **Signatures**

Henry C. Kapteina	08/10/2012
**Signature of Reporting Person	Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.