SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934
or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *	2. Date of Event Requiring Statement (Month/Day/Year)	3. Issuer Name **and** Ticker or Trading Symbol
Bergeron Douglas (Last) (First) (Middle) C/O ETHAN ALLEN INTERIORS INC. 25 LAKE AVENUE EXT. (Street) DANBURY CT 06811 (City) (State) (Zip)	11/04/2026	ETHAN ALLEN INTERIORS INC [ETD] 4. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director — 10% Owner X Officer (give title below) — Other (specify below) Executive Chairman 5. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	1,050,000	I	By: DGB Investment, Inc.(1)
Common Stock	90,000	I	By: Douglas Bergeron Qualified Personal Residence Trust(2)
Common Stock	135,000	I	By: Bergeron Nieces and Nephews Trus(3)
Common Stock	25,000	I	By Spouse(4)

Table II - Derivative Securities Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			

Explanation of Responses:

1. Shares beneficially owned directly by DGB Investment, Inc. ("DGB Investment"). As President and sole stockholder of DGB Investment, Mr. Bergeron may be deemd to beneficially own the shares held by DGB Investment. Mr. Bergeron disclaims beneficial ownership of such shares except to the extent of his pecuniary interest therein.

2. Shares beneficially owned directly by Douglas Bergeron Qualified Personal Residence Trust ("Residence Trust"). As trust advisor of Residence Trust, Mr. Bergeron may be deemd to beneficially own the shares held by Residence Trust. Mr. Bergeron disclaims beneficial ownership of such shares except to the extent of his pecuniary interest therein.

3. Shares beneficially owned directly by Bergeron Nieces and Nephews Trust ("Nieces and Nephews Trust"). As trust advisor of Nieces and Nephews Trust, Mr. Bergeron may be deemd to beneficially own the shares held by Nieces and Nephews Trust. Mr. Bergeron disclaims beneficial ownership of such shares except to the extent of his pecuniary interest therein.

4. Shares beneficially owned by Mr. Bergeron's spouse. Mr. Bergeron disclaims beneficial ownership of such shares except to the extent of his pecuniary interest therein.

/s/ Douglas G. Bergeron — 11/16/2026

** Signature of Reporting Person — Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, *see* Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.