# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington D.C. 20549

### FORM 8-K

Current Report
Pursuant to Section 13 or 15(d) of the
Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): April 17, 2018

### ETHAN ALLEN INTERIORS INC.

(Exact name of registrant as specified in its charter)

1-11692

06-1275288

**Delaware** 

(State or other jurisdiction of incorporation)	(Commission File Number)	(I.R.S. Employer Identification No.)
25 Lake Avenue Extens <u>Danbury, CT</u> (Address of principal executive)		<u>06811</u> (Zip Code)
Registrant's telephone number, including area code: (203) 743-8000		
(Former nan	Not Applicable me or former address, if changed si	nce last report)
Check the appropriate box below if the Form 8-K filing is in (see General Instruction A.2. below):	tended to simultaneously satisfy th	ne filing obligation of the registrant under any of the following provision
[ ] Written communications pursuant to Rule 425 under the	Securities Act (17 CFR 230.425)	
[ ] Soliciting material pursuant to Rule 14a-12 under the Exc	change Act (17 CFR 240.14a-12)	
[ ] Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))		
[ ] Pre-commencement communications pursuant to Rule 13	3e-4(c) under the Exchange Act (1	7 CFR 240.13e-4(c))
Indicate by check mark whether the registrant is an emerging 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 o		le 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule
		Emerging growth company [ ]
If an emerging growth company, indicate by check mark if the financial accounting standrds provided pursuat to Section 13	C	the extended transition period for complying with any new or revised

#### INFORMATION TO BE INCLUDED IN REPORT

#### Item 7.01 Regulation FD Disclosure

On April 17, 2018, Ethan Allen Interiors Inc. ("Ethan Allen" or the "Company") issued a press release announcing the release date for fiscal 2018 third quarter results and comments on business. A copy of the communication is being furnished as Exhibit 99.1 hereto and hereby incorporated by reference.

The information in this form 8-K, including Exhibit 99.1, shall not be deemed "filed" for purposes of Section 18 of the Securities and Exchange Act of 1934, as amended (the "1934 Act"), nor shall it be deemed "incorporated by reference" into any filing under the Securities Act of 1933, as amended, or the 1934 Act, except as may be expressly set forth by specific reference in such filing.

#### Item 9.01 Financial Statements and Exhibits

(d) Exhibits

99.1

Exhibit Description

Date: April 17, 2018

Press release dated April 17, 2018, furnished herewith

#### **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, as amended, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

ETHAN ALLEN INTERIORS INC.

By: /s/ Corey Whitely

Executive Vice President, Administration Chief Financial Officer and Treasurer

# **Ethan Allen Announces Earnings Release Date for Fiscal 2018 Third Quarter Results and Comments on Business**

## ETHAN ALLEN

DANBURY, CT—April 17, 2018 (GLOBE NEWSWIRE)— Ethan Allen Interiors Inc. ("Ethan Allen" or "the Company") (NYSE:ETH) will release its financial results for the fiscal 2018 third quarter ended March 31, 2018, after the market closes on Thursday, April 26, 2018. Following the release, the Company will host an analyst conference call at 5 p.m. EST to discuss its business and financial highlights.

As mentioned in its April 5, 2018, press release, the Company expects to report that during the fiscal third quarter, retail division written orders increased 2.6% with strong acceleration in March increasing 6.9% over the prior year. Wholesale orders increased by 13.6%, helped by strong orders from the U.S. government. Backlogs remain high, with wholesale backlog increasing 70% and retail division backlog increasing 15% compared to the previous year.

The Company expects consolidated net delivered sales for the quarter of \$181.4 million, a 0.5% increase over the prior year period. Wholesale delivered sales increased by 7.3% to \$118.9 million. Retail division delivered sales were impacted by the timing of receipt of products, and declined 3.6% to \$136.9 million.

Adjusted diluted earnings per share is expected to be in the range of \$0.10 to \$0.11, reflecting the impact of several factors; these factors include an increase in marketing expenditures, the delayed delivery of the retail backlog while manufacturing caught up on production, increases in raw materials costs, higher insurance costs, and manufacturing inefficiencies due to the startup of the government contract. These costs and expenses impacted both gross margin and operating income.

"We are well positioned to grow our sales and profits, as many of our major initiatives are in place, and our manufacturing, sourcing, and logistics are in a strong position to service increased sales," said Farooq Kathwari, Chairman and CEO. He further stated, "I am also pleased to announce that in April the Company resumed repurchasing shares in the open market, and thus far has repurchased approximately 300,000 shares representing over 1% of the outstanding shares."

The analyst conference call will be webcast from the "Events and Presentations" page at http://www.ethanallen.com/investors. To access the conference call, dial 844-822-0103 (or 614-999-9166 for international callers), and enter conference ID 50728594. For those unable to listen live, the call will be archived on the company's website for at least 60 days.

#### **About Ethan Allen**

Ethan Allen Interiors Inc. (NYSE: ETH) is a leading interior design company and manufacturer and retailer of quality home furnishings. The company offers complimentary interior design service to its clients and sells a full range of furniture products and decorative accessories through ethanallen.com and a network of approximately 300 Design Centers in the United States and abroad. Ethan Allen owns and operates nine manufacturing facilities including six manufacturing plants and one sawmill in the United States plus one plant each in Mexico and Honduras. Approximately seventy-five percent of its products are made in its North American plants. For more information on Ethan Allen's products and services, visit www.ethanallen.com.

Ethan Allen Interiors Inc. Investor/Media Contact: Corey Whitely Executive Vice President, Administration Chief Financial Officer and Treasurer ir@ethanallen.com

#### Forward-Looking Information

This press release and any related webcasts, conference calls, and other related discussions should also be read in conjunction with the Company's Annual Report on Form 10-K for the year ended June 30, 2017 (the "2017 Form 10-K") and other reports filed with the Securities and Exchange Commission.

This press release contains forward-looking statements within the meaning of Section 27A of the Securities Act of 1934, as amended, and Section 21E of the Securities Exchange Act of 1934, as amended (the "Exchange Act"), which represent our management's beliefs and assumptions concerning future events based on information currently available to us relating to our future results. Such forward-looking statements are identified in this press release and in documents incorporated herein by reference by use of forward-looking words such as "anticipate", "believe", "plan", "estimate", "expect", "intend", "will", "may", "continue", "project", "target", "outlook", "forecast", "guidance", and similar expressions and the negatives of such forward-looking words. These forward-looking statements are subject to management decisions and various assumptions about future events, and are not guarantees of future performance. A number of risks and uncertainties could cause actual results to differ materially from those anticipated in the forward-looking statements, including, but not limited to: changes in global or regional political or economic conditions, including changes in governmental and central bank policies; our ability to secure debt or other forms of financing; the effect of operating losses on our ability to pay cash dividends; changes in business conditions in the furniture industry, including changes in consumer spending patterns, tastes and demand for home furnishings; competition from overseas manufacturers and domestic retailers and competitive factors such as changes in products or marketing efforts of others; effects of our brand awareness and marketing programs, including changes in demand for our existing and new products; our ability to locate new design center sites and/or negotiate favorable lease terms for additional design centers or for the expansion of existing design centers; fluctuations in interest rates and the cost, availability and quality of raw materials; pricing pressures; the effects of lab

Given the risks and uncertainties surrounding forward-looking statements, you should not place undue reliance on these statements. Many of these factors are beyond our ability to control or predict. Our forward-looking statements speak only as of the date of this press release. Other than as required by law, we undertake no obligation to update or revise forward-looking statements, whether as a result of new information, future events, or otherwise.