

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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#### INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Respon	ses)		*							
1. Name and Address of Reporting Person <sup>*</sup> SANDBERG RICHARD A			2. Date of Event Statement (Mont 11/18/2003	1 0	0			8.7		
(Last) ETHAN ALLEN DRIVE	(First) INC., ETH	(Middle) HAN ALLEN	11/18/2003		4. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner			5. If Amendment, Date Original Filed(Month/Day/Year)		
(Street) DANBURY, CT 06811					Officer (give title Other (specify below)			6. Individual or Joint/Group Filing(Check Applicable Line) _X_Form filed by One Reporting Person Form filed by More than One Reporting Person		
(City)	(State)	(Zip)	Table I - Non-Derivative Securities Beneficially Owned							
1.Title of Security (Instr. 4)				2. Amount of Sea Beneficially Own (Instr. 4)		3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Natur (Instr. 5	e of Indirect Beneficial Ownership )		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Date Exercisable		3. Title and Amount of		4. Conversion	5. Ownership	6. Nature of Indirect Beneficial	
(Instr. 4)	and Expiration Date		Securities Underlying Derivative		or Exercise	Form of	Ownership	
			Security		Price of	Derivative	(Instr. 5)	
			(Instr. 4)		Derivative	Security: Direct		
	Date	Expiration		Amount on Number of	Security	(D) or Indirect		
	Exercisable	Date	Title	Amount or Number of Shares		(I)		
	Exercisable	Duit		Shares		(Instr. 5)		

## **Reporting Owners**

Reporting Owner Name /	Relationships					
Address	Director	10% Owner	Officer	Other		
SANDBERG RICHARD A ETHAN ALLEN INC. ETHAN ALLEN DRIVE DANBURY, CT 06811	Х					

### Signatures

Richard A. Sandberg	11/17/2003	
Signature of Reporting Person	Date	

# **Explanation of Responses:**

### No securities are beneficially owned

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C.
- \*\* 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

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