| FORM 4 |
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| Check this box if no  |
|-----------------------|
| longer subject to     |
| Section 16. Form 4 or |
| Form 5 obligations    |
| may continue. See     |
| Instruction 1(b).     |
|                       |

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| (Print or Type Responses)<br>1. Name and Address of Reporting Per<br>CLARK CLINTON A | 2. Issuer Name an ETHAN ALLE                         |  |                    | 0,    |   | 5. Relationship of Reporting Person(s) to Issuer<br>(Check all applicable)<br>X Director 10% Owner  |               |  |  |                         |
|--|--|--|--------------------|-------|---|---|---------------|--|--|-------------------------|
| (Last) (First)<br>ETHAN ALLEN DRIVE  | (Middle)   | 3. Date of Earliest Transaction (Month/Day/Year)<br>08/11/2016 |                    |       |   |   |               |  | ther (specify belo                             | ow)                     |
| (Street)<br>DANBURY, CT 06811  | 4. If Amendment, Date Original Filed(Month/Day/Year) |  |                    |       |   | 6. Individual or Joint/Group Filing(Check Applicable Line)<br>_X_Form filed by One Reporting Person<br>Form filed by More than One Reporting Person |               |  |  |                         |
| (City) (State)   | (Zip)  |  | Table I -          | Non-l | Derivativo  | e Secur   | ities Acqui   | red, Disposed of, or Beneficially Own      | ned  |                         |
| 1.Title of Security<br>(Instr. 3)  | Date<br>(Month/Day/Year)                             | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year)    | Code<br>(Instr. 8) |       | 4. Securities Acquired (A)<br>or Disposed of (D)<br>(Instr. 3, 4 and 5) |   | Ď)            | Owned Following Reported<br>Transaction(s) | Ownership<br>Form:                             | Beneficial              |
|  |  |  | Code               | v     | Amount  | (A) or<br>(D)   | Price         | (Instr. 3 and 4)                           | Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | Ownership<br>(Instr. 4) |
| Stock Options (right to buy)   | 08/11/2016   |  | М                  |       | 1,462   | А   | \$ 22.8       | 3,679                                      | D  |                         |
| Stock Options (right to buy)   | 08/11/2016   |  | F                  |       | 1,041   | D   | \$<br>34.0742 | 2,638                                      | D  |                         |
| Stock Options (right to buy)   | 08/11/2016   |  | М                  |       | 3,357   | А   | \$ 29.79      | 5,595                                      | D  |                         |
| Stock Options (right to buy)   | 08/11/2016   |  | S                  |       | 3,357   | D   | \$<br>34.039  | 2,638                                      | D  |                         |
| Stock Options (right to buy)   | 08/11/2016   |  | М                  |       | 1,160   | А   | \$ 28.73      | 3,798                                      | D  |                         |
| Stock Options (right to buy)   | 08/11/2016   |  | F                  |       | 1,041   | D   | \$<br>34.082  | 2,757                                      | D  |                         |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained SEC 1474 (9-02) in this form are not required to respond unless the form displays a currently valid OMB control number.

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

|                                       | (e.g., puts, calls, warrants, options, convertible securities) |  |   |      |           |   |   |                                     |                    |                             |  |                                      |  |  |            |
|---------------------------------------|--|--|---|------|-----------|---|---|-------------------------------------|--------------------|-----------------------------|--|--------------------------------------|--|--|------------|
| Security                              | Conversion   | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | Code | tion<br>) | of<br>Deri<br>Secu<br>Acq<br>(A)<br>Disp<br>of (I | ivative<br>urities<br>uired<br>or<br>posed<br>D)<br>tr. 3, 4, | Expiration Date<br>(Month/Day/Year) |                    | of Underlying<br>Securities |  | Derivative<br>Security<br>(Instr. 5) | Derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s) | Derivative<br>Security:<br>Direct (D)<br>or Indirect | Beneficial |
|                                       |  |  |   | Code | v         | (A)   |   | Date<br>Exercisable                 | Expiration<br>Date | Title                       | Amount<br>or<br>Number<br>of<br>Shares |                                      |  |  |            |
| Stock<br>Options<br>(right to<br>buy) | \$ 22.8  | 08/11/2016                                 |   | М    |           |   | 1,462   | 07/22/2015                          | 07/22/2024         | Common<br>Stock             | 1,462                                  | \$ 0                                 | 1,462  | D  |            |
| Stock<br>Options<br>(right to<br>buy) | \$ 29.79   | 08/11/2016                                 |   | М    |           |   | 3,357   | 07/30/2014                          | 07/30/2023         | Common<br>Stock             | 3,357                                  | \$ 0                                 | 0  | D  |            |
| Stock<br>Options<br>(right to<br>buy) | \$ 28.73   | 08/11/2016                                 |   | М    |           |   | 1,160   | 07/21/2016                          | 07/21/2025         | Common<br>Stock             | 1,160                                  | \$ 0                                 | 2,321  | D  |            |

## **Reporting Owners**

| Reporting Owner Name /<br>Address                         | Director | 10%<br>Owner | Officer | Other |
|---|----------|--------------|---------|-------|
| CLARK CLINTON A<br>ETHAN ALLEN DRIVE<br>DANBURY, CT 06811 | Х        |              |         |       |

# Signatures

| Clinton A. Clark                | 08/12/2016 |
|---------------------------------|------------|
| **Signature of Reporting Person | Date       |

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.