UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

| OMB APPROVAL | | | | | |
|--------------------|-----------|--|--|--|--|
| OMB Number: | 3235-0287 | | | | |
| Estimated average | burden | | | | |
| hours per response | 0.5 | | | | |

longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| (Print or Typ | c responses | | | | | | | | | | | | | |
|---|---|--|---|-----------------------|--|--|--|---|---|--|---------------------------------|---|---|---|
| 1. Name and Callen Da | | Reporting Person * | | | | | ker or Trading TERIORS I | • | | lationship | | Person(s) to all applicable | | |
| (Last) (First) (Middle) ETHAN ALLEN DRIVE | | | 3. Date of Earliest Transaction (Month/Day/Year) 11/12/2009 | | | | X | X Officer (give title below) Other (specify below) VP Finance & Treasurer | | | | | | |
| DANBUR | RY CT 06 | (Street) | | 4. If Am | endment, D | ate O | riginal Filed(M | onth/Day/Year) | _X_ Fo | orm filed by O | ne Reporting Po | Filing(Check A erson eporting Person | pplicable Line) | |
| (City | | (State) | (Zip) | | | Tab | ole I - Non-Der | ivative Securities | Acquired, I | Disposed o | f, or Benefi | cially Owned | <u> </u> | |
| (Instr. 3) Date | | 2. Transaction Date (Month/Day/Year | ar) any | | n Date, if Code (Instr. 8) | | . Securities Acquir A) or Disposed of Instr. 3, 4 and 5) | (D) Owned Transa | Owned Following Reported Transaction(s) | | | Ownership of Form: Be | Beneficial | |
| | | | | (Montl | h/Day/Year | | Code V | (A) or (D) | (Instr. | (Instr. 3 and 4) Direct (D) Or Indirect (I) (Instr. 4) | | | | |
| Reminder: R | | | | | | | in this | s who respond form are not red ntly valid OMB | quired to re | espond u | | | | 474 (9-02) |
| Temmuer. 1v | | | | | | | in this | | quired to re | espond u | | | | ., . (> 02) |
| 1. Title of Derivative Security | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if | 4. Transac Code | 5. Num of Deriva Securi Acquir (A) or | nber tive ties | in this a curre | form are not rec ntly valid OMB osed of, or Benefic overtible securitions is able and te | quired to re control nur cially Owner | d Amount | 8. Price of | 9. Number o Derivative Securities Beneficially Owned Following | f 10. Ownersh Form of | 11. Nation of Indirection Benefic Owners (Instr. 4 |
| 1. Title of Derivative Security | Conversion or Exercise Price of Derivative | Date | 3A. Deemed Execution Date, if any | 4. Transac Code | stion of Deriva Securi Acquir | nber ntive ties red sed 3, 4, | in this a curre cquired, Disputs, options, co | form are not rec ntly valid OMB osed of, or Benefic overtible securitions is able and te | cially Owner ies) 7. Title and of Underlyi Securities | d Amount | 8. Price of Derivative Security | 9. Number o Derivative Securities Beneficially Owned | f 10. Ownersh Form of Derivativ Security: Direct (E or Indire | 11. Nat of Indir Benefic Owners (Instr. 4 |
| 1. Title of Derivative Security | Conversion or Exercise Price of Derivative | Date | 3A. Deemed Execution Date, if any | 4. Transac Code | states, calls, we state to of the control of the co | nber ntive ties red sed 3, 4, | in this a curre cquired, Disputs, options, co | form are not rec ntly valid OMB osed of, or Benefic overtible securitions is able and te | cially Owner ies) 7. Title and of Underlyi Securities | d Amount | 8. Price of Derivative Security | 9. Number o Derivative Securities Beneficially Owned Following Reported Transaction(| f 10. Ownersh Form of Derivativ Security: Direct (I or Indirects) (I) | 11. Nat of Indir Benefic Owners (Instr. 4 |

| P (1 0 V / | Relationships | | | | |
|--|---------------|--------------|------------------------|-------|--|
| Reporting Owner Name / Address | Director | 10% Owner | Officer | Other | |
| Callen David R ETHAN ALLEN DRIVE DANBURY, CT 06811 | | | VP Finance & Treasurer | | |

Signatures

| David R. Callen | 11/16/2009 |
|---------------------------------|------------|
| **Signature of Reporting Person | Date |

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C.
- (1) Options vest 25% per year over four years pursuant to an Option Agreement and are fully vested in four years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.